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Signed


Laura Lee Mosier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALAN A. FENNEMA *et al.*

Application No. 10/759,546

Filed: January 16, 2004

For: Apparatus and Method for Phase Control
of Tunable External Cavity Lasers

Art Unit: 2881

Examiner: To be assigned

Date: June 18, 2004

**INFORMATION DISCLOSURE STATEMENT SUBMITTED
PRIOR TO THE FIRST OFFICIAL ACTION**

Commissioner for Patents
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Dear Sir or Madam:

Applicant submits herewith patents and publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. While this Statement may be “material” pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is “prior art” for this invention unless specifically designated as such. A listing of patents and publications is shown on enclosed PTO Forms 08A and 08B and a copy of each patent and publication is also enclosed.

This information disclosure statement is being filed in compliance with 37 CFR 1.97(b)(3) as being filed before the mailing date of the first office action on the merits.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/759,546
				Filing Date	January 16, 2004
				First Named Inventor	ALAN A. FENNEMA
				Group Art Unit	2828
				Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket Number	A-72067/ENB
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
		L.A. Coldren et al., "Diode Lasers and Photonic Integrated Circuits", A WILEY-INTERSCIENCE PUBLICATION, New York, 1995, ISBN 0-471-11875-3. Publication No. 94-39383, pp. 350-353.			
		Paul Zorabedian, "TUNABLE LASERS HANDBOOK: Chapter 8: Survey of External-Cavity Laser Design", F.J. Duarte, Academic Press, San Diego, 1995, pp. 398-407			
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

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